**Form for the de-notification of marketing of units or shares of EU AIFs**Persuant to article 32a of the Alternative Investment Fund Managers Directive (AIFMD)Date of the de-notification: ……………..

**GENERAL INFORMATION ON THE AIFM**

|  |  |  |
| --- | --- | --- |
| 1. Name of AIFM |  |  |
| 1. Address |  |  |
| 1. Postal code and city |  |  |
| 1. Country |  |  |
| 1. Telephone number |  |  |
| 1. Email |  |  |
| 1. Website |  |  |

**GENERAL INFORMATION ON THE AIF(s) RELEVANT FOR THIS DE-NOTIFICATION**

|  |  |  |
| --- | --- | --- |
| 1. Name of AIF |  |  |
| 1. Address |  |  |
| 1. Postal code and city |  |  |
| 1. Country |  |  |
| 1. Does the AIF have compartments? If yes, please describe which compartments are relevant for the de-notification. |  | Yes  No  Name of the AIF and/or compartment(s) to be de-notified: |

**SPECIFIC INFORMATION FOR THE DE-NOTIFICATION**

|  |  |  |
| --- | --- | --- |
| 1. Please specify the Member State(s) in which the AIFM will cease any new or further, direct or indirect, offering or placement of units or shares of the AIF(s) relevant for this de-notification. |  |  |
| 1. Can you confirm that, except in the case of closed-ended AIFs and funds regulated by Regulation (EU) 2015/760 of the European Parliament and of the Council[[1]](#footnote-2); a) a blanket offer is made to repurchase or redeem, free of any charges or deductions, the AIF(s) units or shares held by investors in the relevant Member State(s); b) that this offer is publicly available for at least 30 working days; c) that the offer is addressed, directly or through financial intermediaries, individually to all investors in the relevant Member State(s) whose identity is known?  Please explain and provide relevant information. |  | Yes  No    Explanation: |
| 1. Can you confirm that the intention to terminate arrangements made for marketing units or shares of the relevant AIF(s) in the Member State(s) is made public by means of a publicly available medium, including by electronic means, which is customary for marketing AIFs and suitable for a typical AIF investor? Please explain and provide relevant information. |  | Yes  No   Explanation: |
| 1. Can you confirm that any contractual arrangements with financial intermediaries or delegates are modified or terminated with effect from the date of the de-notification in order to prevent any new or further, direct or indirect, offering or placement of the units or shares of relevant AIF(s)? Please explain and provide relevant information. |  | Yes  No   Explanation: |
| 1. Is the AIFM aware of the obligation to provide investors who remain invested in the EU AIF, as well as the AFM, with the information required under Articles 22 and 23 of the AIFMD? |  | Yes  No |
| 1. Are there any changes to the documentation and information referred to in points (b) to (f) of Annex IV that was provided as part of the initial notification in accordance with Article 32 of the AIFMD? If so, please explain and provide the amended documentation. |  |  |

The signatories declare having answered the questions in this notification form completely and truthfully, and that they are authorized persons to represent the AIFM. The signatories shall state their full names and capacity, and shall ensure the form is dated.

Place: Date:

Signature person 1: Signature person 2:

Name person 1: Name person 2:

Capacity person 1: Capacity person 2:

1. Regulation (EU) 2015/760 of the European Parliament and of the Council of 29 April 2015 on European long- term investment funds (OJ L 123, 19.5.2015, p. 98). [↑](#footnote-ref-2)